



Application Reference # _____

Account # _____

Only complete if you want another person to trade in your account(s).

Throughout this agreement, the words "you", "your" and "yours" mean the applicant (and any Co-applicant or other individuals with authority over the account). The words "we", "us" and "our" mean TD Direct Investing, a division of TD Waterhouse Canada Inc.

You authorize 

to act as your agent to buy, sell and trade in securities for the accounts listed in your name or number on our records. Your agent is authorized to act for you in the same manner and with the same force and effect as if you had taken such action with us. You authorize us to follow your agent's instructions regarding these purchases, sales, or trades, in every respect and you approve of any transactions your agent makes with us for your account. These transactions will be made according to the terms and conditions of agreements you have already agreed to for your accounts with us, and you will be fully liable for them. You agree to indemnify us and hold us harmless from and to pay us promptly on demand for any losses and if there is any money due on your account.

This trading authorization and your promise to pay us for any losses are in addition to any rights we may have under other agreements between the both of us. This is not meant to limit or restrict our rights in any way. However, you acknowledge and agree that we reserve the right to review and reject any of your agent's transaction requests.

Ending this Authorization

Each of you agrees that this authorization is binding on each of you as well as on your heirs, executors, administrators, successors and assigns. We will continue to deal with your agent until this Authorization is ended by our actually having received either your written notice of revocation or proof of your death.

Consent to TD Handling of Your Personal Information and Privacy Policy

You consent to Our Privacy Policy. You agree that TD (which includes The Toronto-Dominion Bank and affiliated companies) may handle your personal information as we set out in our Privacy Policy. You can find our Privacy Policy online at td.com/privacy.

You have choices. The Privacy Policy outlines your options, where available, to refuse or withdraw your consent

Here is a summary of our Privacy Policy.

We collect, use, share and retain your information to:

- Identify you
- Process your application
- Provide you ongoing service
- Communicate with you
- Personalize our relationship with you
- Improve TD products and services
- Protect against fraud, financial abuse and error
- Manage and assess our risks
- Meet legal and regulatory obligations

We collect information (for the purposes set out above) from you and others including:

- Payment card networks
- Lenders
- Insurers
- Fraud prevention agencies and registries
- From your interactions with us, including on your mobile device or the Internet, cameras at our property and records of your use of our products and services
- Any other people you have allowed us to contact

We may share your information (for the purposes set out above) with these parties. Some of them may be located outside your province/territory or outside Canada:

- TD affiliates
- Fraud prevention agencies and registries
- Lenders
- Trusted Contact Person
- Companies that we work with to provide products or services
- Insurers
- Payment card networks

We retain your information:

We keep your information for as long as we reasonably need it for the purposes set out above.

We may communicate with you:

We may communicate with you about your application. And about your existing and other products and services that may be of interest to you. We may contact you by mail, phone at the number(s) you have provided, text, email or other electronic methods.


You can opt out of receiving offers or choose how we contact you for marketing campaign purposes. To do so, call us at 1-866-567-8888.


Signatures

Applicable for Agreements governed by Quebec Law: You have been provided with French and English versions of this agreement and you have agreed that it may be drawn up in English. You also agree that documents related to this agreement may be drawn up in English. Les versions française et anglaise de cette convention vous ont été présentées. Vous convenez que la présente convention soit rédigée en anglais et que les documents s'y rapportant puissent être rédigés en anglais.


The signatures on this form must be witnessed by someone other than the applicant, co-applicant, agent, spouse or common-law partner of any of these persons. A person may witness all signatures and each signature must be witnessed.

The witness must be present when the applicant, co-applicant and/or agent sign and must then sign as a witness.


Applicant's Signature X  _____ Date: _____ / _____ / _____
month day year

Co-Applicant's(s') Signature X  _____ Date: _____ / _____ / _____
month day year

I accept this appointment as agent and attorney.


Agent's Signature X  _____ Date: _____ / _____ / _____
month day year

Witness for signature(s) of (check all that apply) Applicant Co-Applicant Agent

 Witness' Signature X _____ Print Full Name: _____


Address: _____ Phone: _____

Witness for signature(s) of (check all that apply) Applicant Co-Applicant Agent


 Witness' Signature X _____ Print Full Name: _____

Address: _____ Phone: _____

Witness for signature(s) of (check all that apply) Applicant Co-Applicant Agent

 Witness' Signature X _____ Print Full Name: _____

Address: _____ Phone: _____

About Your Agent (To be completed for the person appointed to act as agent attorney) 

Home address _____

Name and address of Agent's employer _____

Occupation/Last Occupation  _____ Type of Business  _____

Date of birth _____ Relationship to Account Holder  _____

Does the Agent have other brokerage accounts or control the trading in any other accounts?

No Yes Account Types _____

Is the Agent an insider (e.g. director, officer, 10% shareholder or more) of a reporting issuer or any other issuer whose securities are publicly traded (includes domestic, foreign, exchange-listed and over-the-counter markets)?

No Yes - Name of Company(ies) _____

Is Agent, as an individual or as part of a group, in a control¹ position of a publicly traded company?

No Yes - Name of Company(ies) _____

Is the agent charging a fee or receiving direct or indirect compensation for the account?

No Yes

Is the agent registered or licensed to provide financial advice or considered a registered individual or firm in the categories of Investment Dealer, Mutual Fund Dealer, Scholarship Plan Dealer, Exempt Market Dealer, Restricted Dealer, Portfolio Manager or Restricted Portfolio Manager?

No Yes

Valid Identification/Citizenship Required - For the Agent: 

- Valid ID must have the applicant's name, photo, ID Number/Account Number/Reference Number, and must match the client.
- Passport, if provided, must be signed by the applicant to be AML compliant.
- Review birthplace indicated in Passport - if U.S., refer to the FATCA website for further details and procedures
- **U.S. Persons must provide:** - *W9 form (#515876) and a Waiver of Confidentiality (#591856)*

Valid Photo ID Types

Note: Complete form 533666, Valid Non-Photo ID Types if applicant does not have one of the acceptable Photo ID listed below.

- | | | | |
|--------------|--|---|---|
| • Passport | • Canadian Driver's Licence
(Restriction: QC only if applicant volunteers it) | • Provincial Health Card (with photo)
(Restriction: ON, MB, NS and PEI not allowed by law; QC only if applicant volunteers it) | • Permanent Residence Card |
| • Nexus Card | • Canadian Provincial Government ID Card - AB, BC, NL, NS, SK, PEI, ON and MB only | • Certificate of Indian Status | • Canadian Citizenship Card
(Restriction: acceptable only if issued prior to 02/01/2012) |
| | | | • Canadian DND Military Identification Card |

Was the Affiliate Method Used? Yes No **Affiliate Date of Verification** (mm/dd/yyyy) _____

If 'Yes' is selected above, please indicate the date when the Affiliate verified the ID

ACF2 Login ID _____ Name of TD Employee _____ Date ID Verified (mm/dd/yyyy) _____

Type of Identification _____ Identification Number _____

Place of Issue _____ Date of Issue (mm/dd/yyyy) _____ Expiry Date (mm/dd/yyyy) _____

¹ Control means the holdings of any person, company or combination of persons or companies holding a sufficient number of any securities of an issuer to affect materially the control of that issuer, but any holding of any person, company or combination of persons or companies holding more than 20% of the outstanding voting securities of an issuer shall, in the absence of evidence to the contrary, be deemed to affect materially the control of that issuer.